Noninvasive Imaging of the Foveal Avascular Zone with High-Speed, Phase-Variance Optical Coherence Tomography

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PURPOSE. To demonstrate the application of phase-variance optical coherence tomography (pvOCT) for contrast agent-free in vivo imaging of volumetric retinal microcirculation in the human foveal region and for extraction of foveal avascular zone dimensions.

METHODS. A custom-built, high-speed Fourier-domain OCT retinal imaging system was used to image retinas of two healthy subjects and eight diabetic patients. Through the acquisition of multiple B-scans for each scan location, phase differences between consecutive scans were extracted and used for phase-variance contrast, identifying motion signals from within blood vessels and capillaries. The en face projection view of the inner retinal layers segmented out from volumetric pvOCT data sets allowed visualization of a perfusion network with the foveal avascular zone (FAZ). In addition, the authors presented 2D retinal perfusion maps with pseudo color-coded depth positions of capillaries.

RESULTS. Retinal vascular imaging with pvOCT provides accurate measurements of the FAZ area and its morphology and a volumetric perfusion map of microcapillaries. In this study using two images from each fundus fluorescein angiography (FA) and pvOCT, the measured average areas of the FAZ from two healthy subjects were below 0.22 mm², and each of eight diabetic patients had an enlarged FAZ area, larger than 0.22 mm². Moreover, the FAZ areas demonstrated a significant correlation (r = 0.91) between measurements from FA and pvOCT.

CONCLUSIONS. The high-speed pvOCT allows contrast agent-free visualization of capillary networks in the human foveal region that is analogous to fundus FA imaging. This could allow for noninvasive diagnosis and progression monitoring of diabetic retinopathy in clinical settings.

The retinal circulatory system fulfills metabolic needs, such as transporting nourishment and oxygen and controlling immune responses, for the inner retinal layers. The arterial and venous branches and capillaries spread across the retina with three different capillary plexuses. In the center of the macula, however, a capillary-free zone is present, which is known as the foveal avascular zone (FAZ). The central fovea of the human provides high-resolution vision, and significant capillary dropout from this region may cause severe visual loss. The normal human FAZ with a fully developed fovea is circular on its en face view, where it encircles the foveal depression. The average FAZ diameter for healthy humans younger than 40 years is 0.53 mm, as measured by fundus fluorescein angiography (FA), with considerable individual variation.

The diameter of the foveal nonperfusion area has been quantified by the trypsin digestion method and psychophysical measurement. Using fundus FA, enlargements of the FAZ area have been reported in patients with diabetic retinopathy (DR). Capillary closure, the hallmark of progression of DR, is the pathologic mechanism of FAZ area enlargement. Recently, several groups have implemented adaptive optics to improve visualization of the FAZ. Gray et al.9 presented dynamic images of the paravascular region of the macaque monkey acquired with adaptive optics–scanning laser ophthalmoscopy (AO-SLO) after intravenous injection of a sodium fluorescein dye. In parallel, some groups reported visualization of paravascular capillaries and measurement of the FAZ in human subjects without contrast agents. These included application of AO-SLO, dual-conjugate AO, and adaptive optics–optical coherence tomography (AO-OCT). In addition, a commercial system (Retinal Function Imager; Optical Imaging Inc., New York, NY) has been developed to visualize retinal microvasculature and capillary blood flow by acquiring and processing multiple fundus images. Although imaging modalities (AO-SLO and AO-OCT) incorporating adaptive optics to generate visualization of capillary networks achieve high lateral resolution (approximately 3 μm), the complexity of these systems and the time-consuming image acquisition, processing, and registration make it impractical for clinical measurements. For example, Tam et al.10 measured FAZ using an eight-video AO-SLO montage that required 40 seconds of acquisition time for each video and 2.5 hours postprocessing time. As an alternative technique for FAZ measurement, Doppler OCT13,14 and phase-variance OCT (pvOCT)15 produce high-contrast microcirculation imaging of the foveal area for a healthy subject within just a few seconds of acquisition time and without the use of adaptive optics. Doppler OCT shows a perfusion map with blood flow speeds measured by phase shifts between signals within vessels in consecutive A-scans. This technique has a limitation for measuring perpendicular flow to the scanning...
beam direction. The pvOCT method generates vasculature networks without quantitative flow information (it is insensitive to flow direction) by processing phase differences between consecutive B-scans. pvOCT has already been demonstrated in noninvasive visualization of perfusion networks in animal studies. Another important feature of pvOCT is that, in contrast to fundus FA and SLO methods, OCT provides depth information of the retinal structure, which enables visualization of 3D capillary networks.

In the present study, we describe in vivo measurements of the FAZ area with healthy volunteers and patients with diabetic retinopathy using a noninvasive pvOCT method. Our study demonstrates 3D microvasculature images of the parafoveal ring, its projection view, quantification of the FAZ area, and comparison of FAZ areas from fundus FA and pvOCT. High-speed acquisition of our Fourier-domain optical coherence tomography (Fd-OCT) system allows single image acquisition time less than 5 seconds, which leads to a decrease of motion artifacts with a single volume acquired at the central fovea.

**SUBJECTS AND METHODS**

**Subjects**

The tenets of the Declaration of Helsinki were observed, and written informed consent approved by the institutional review board at the UC Davis School of Medicine was obtained after all procedures were fully explained and before any experimental measurements. Two healthy subjects with clear ocular media and no previous history of ocular diseases and eight diabetic patients were imaged with fundus FA and Fd-OCT. Additional details are presented in Table 1.

Fundus FA images were acquired using a fundus camera (TRC-501X; Topcon, Tokyo, Japan) with resolution of 1280 (horizontally) × 1024 (vertically) pixels. For FA imaging, all subjects’ pupils were dilated with a combination of tropicamide and phenylephrine. Sodium fluorescein 10% in water (500 mg/5 mL) was injected intravenously using a 23- or a 25-gauge needle, followed by a flush of normal saline.

The high-speed (125-kHz A-scan rate) custom-built Fd-OCT system at the University of California, Davis was used to acquire in vivo human retinal images with scanning areas of 1.5 × 1.5 mm² or 1 × 1 mm². A bite-bar and a forehead rest were used to stabilize head position. Additionally, a fixation target (~516 nm blinking light) was used during imaging. Pupil dilation for imaging was needed for the diabetic patients, but pupil dilation was not needed for the two healthy subjects. The image acquisition time of each pvOCT volumetric scan was less than 5 seconds. Two volumetric scans were taken at the same location in the fovea with about a 2-minute time gap between the two acquisitions. Figure 1 exhibits the fundus FA image of a healthy subject and the pvOCT scanning area, 1.5 × 1.5 mm², within the red dashed square.

**Optical Coherence Tomography Instrument**

A schematic of the Fd-OCT instrument used in this study has been reported previously. In brief, a superluminescent diode (Superlum Ltd., Cork, Ireland) centered at 855 nm and with a full-width-at-half-maximum of 75 nm was used as a light source in the spectrometer-based Fd-OCT system. Its axial resolution is approximately 4.5 μm in tissue (refractive index, n = 1.38), and its lateral resolution is approximately 15 μm in the retina. A standard fiber-based Michelson interferometer with 80/20 beam splitting ratio was used, and the power of the imaging beam at the eye pupil was approximately 700 μW, which is below the recommended ANSI exposure limit.

A custom-built spectrometer uses the CMOS (complementary metal-oxide-semiconductor) detector (spL4096–140km; Basler, Ahrens-
the phase unwrapping method is applied to the phase change values.

ences are obtained from the set of BM-scans at each location, and then phase differences allow alignment of B-scans in the axial direction. Phase differences between consecutive A-scans and BM-scans for the 1 × 1 mm² and 1.5 × 1.5 mm² scanning schemes were 3 μm and 4 μm, respectively. Graphical programming-based software (LabVIEW; National Instruments, Austin, TX) was used to acquire and process pvOCT data sets. Acquired spectral data were initially processed using standard Fd-OCT procedures including spectral shaping, zero-padding, and dispersion compensation. OCT image acquisition was conducted in the Vision Science and Advanced Retinal Imaging laboratory at the University of California Davis Eye Center.

Phase-Variance Method

The data processing procedure of the phase-variance method is described in detail in previous papers. Briefly, phase changes between consecutive B-scans are caused by motion from fluid in vessels or capillaries. The cross-correlation between sequential intensity images allows alignment of B-scans in the axial direction. Phase differences are obtained from the set of BM-scans at each location, and then the phase unwrapping method is applied to the phase change values. Bulk motion caused by eye motion is calculated and removed within the data processing. Intensity thresholding from an average intensity image is used to mask pvOCT B-scans, which removes contributions from random noise signals to the image. Subsequent variance calculation produces a volumetric representation of vascular perfusion (without flow speed information). The processing tool is programmed in LabVIEW software developed at the Biological Imaging Center at the California Institute of Technology.

Image Processing

The volumetric data set processed by the phase-variance method is segmented manually from the nerve fiber layer to the outer plexiform layer, where retinal circulation is present. An en face intensity projection image of the segmented data set generates a grayscale perfusion map of the foveal region. Additionally, pseudocolor (red-green-blue [RGB]) coding of depth position shows distinct locations of vascular networks. Its en face projection view produces a 2D depth color-coded vasculature map. To measure the area of the FAFZ with fundus FA and pvOCT, an operator uses the semiautomated segmentation program by active contours implemented in ImageJ software (developed by Wayne Rasband, National Institutes of Health, Bethesda, MD; available at http://rsb.info.nih.gov/ij/index.html). After the operator draws an inner circle inside the FAZ area, the automatic program defines a contour along the vascular rim in the FAZ, which allows a boundary of vessel networks on FA and 2D pvOCT images. Using that contour, the total number of pixels inside the rim is calculated by the software. Then the total pixel number is converted to the FAZ area (mm²) based on the reconstructed image. The reconstructed en face pvOCT image was aligned with the fundus FA, and then the size of the two images was manually adjusted excluding rotational alignment. The 1.5 × 1.5 mm² area image of a healthy subject’s fovea as reconstructed with pvOCT corresponded to approximately 180 × 180 pixels image cropped from the original 50° FA image (1280 × 1024 pixels). Two fundus FA images, obtained in the same session but at different time points (within 20 seconds after fluorescein injection), were used to measure the FAZ area. Eye motion during either FA or pvOCT imaging may cause a difference in the shape of the FAZ between the two images.

RESULTS

In Vivo Vasculature Imaging of the Human Parafoveal Area

Figure 2a shows the average intensity image calculated from three Fd-OCT cross-sectional images (BM scans) acquired at the same location from the left eye of a healthy 59-year-old male volunteer. The BM scans also include phase information with a time separation of 3.5 ms. The image contains a lateral scanning size of 1.5 mm and a dynamic range of 23 dB. Figure 2b shows the overlaid average intensity image of the Fd-OCT B-scan (grayscale) and phase-variance processed image (red), both from the same set of BM-scans. The image acquired from the parafoveal region illustrates three layers of retinal capillary plexuses, including the nerve fiber layer and the ganglion cell layer, the junction between the inner plexiform layer and the inner nuclear layer, and the junction between the inner nuclear layer and the outer plexiform layer. The pvOCT method with its depth information demonstrates the absence of vasculature between the outer nuclear layer and the...
retinal pigment epithelium layer. Note that there are blood vessel shadows because of light attenuation in vessels shown in Figure 2c. Additionally, phase-variance shadow artifacts are manifest in the non-zero phase-variance values underneath the capillaries in Figure 2d. The cross-sectional image from the middle of the volume data set in Figure 3 is shown in Figures 2a and 2b, and that of the last B-scan from the volume data set is presented in Figures 2c and 2d.

The Fundus FA image of the healthy subject cropped over the parafoveal region of $1.5 \times 1.5$ mm$^2$ is shown in Figure 3a. A projection view of the volumetric pvOCT data segmented from the nerve fiber layer to the outer plexiform layer is shown in Figure 3b. The total acquisition time of this volumetric data set is approximately 3.5 seconds, and no significant motion artifacts are seen in the en face image. The capillary-free area of the fovea is shown in both the angiogram and the pvOCT image. Unlike the fundus FA, OCT includes depth-resolved information of the vasculature. Figure 3c shows 2D vessel networks with depth coded by pseudocolor. Vascular depth is scaled linearly in the axial direction with red marking vessels in the superficial layer, green showing the intermediate layer, and blue denoting capillaries positioned in the deep vascular plexus. The 3D color perfusion map in Figure 3d represents the axial location of capillaries from Figure 3c. An RGB color movie of the C-scan (en face) fly-through in depth is available as an online supplement (Supplementary Movie S1, http://www.iovs.org/lookup/suppl/doi:10.1167/iovs.11-8249/-/DCSupplemental).

Figure 4 shows retinal vasculature images, measuring $1 \times 1$ mm$^2$, acquired from the left eye of a 29-year-old woman with proliferative diabetic retinopathy. One can identify microaneurysms appearing as hyperfluorescent dots in the FA image in Figure 4a. Because of the progression of diabetic retinopathy, the morphologic feature of capillaries delimiting the FAZ is changed compared with the image in Figure 3. We suspect capillary dropout in the central fovea and a change in the FAZ shape, resulting in an increase of the FAZ area. This is consistent with a previous microcirculation study of patients with diabetic cystoid macular edema in which similar structural changes in the parafoveal region using fundus FA were reported. We presume the terminal capillary network near the central fovea is undergoing an inflammatory process because the capillary found here between two microaneurysms (middle bottom) has less fluorescence in the angiography, and its diameter is narrower in the pvOCT images compared with other parafoveal capillaries.

Using the 2D vasculature maps of healthy subject NS1, the contour program defines the boundary of the FAZ in Figure 5, overlaying the green color terminal ring onto the 2D vasculature images. We calculate and compare an average FAZ area extracted from two imaging data sets for each of two imaging modalities, FA of Figures 5a and 5e and pvOCT of Figures 5c and 5g. The white region of the segmented image in Figure 5 is quantified (Figs. 5b and 5f for FA; Figs. 5d and 5h for pvOCT). Here, fundus FAs shown in this article are magnified images containing FAZ and pvOCT images acquired at the center of the fovea with one volumetric scan. Figure 6 illustrates results of the FAZ boundary segmentation with a healthy subject, NS2 (Figs. 6a–d) and two diabetic retinopathy patients, DP1 (Figs. 6e–h) and DP3 (Figs. 7i–l). Although only one

![Figure 3. Retinal perfusion images of the parafoveal region measuring $1.5 \times 1.5$ mm$^2$ taken from subject NS1. The middle and last B-scan of volumetric data were shown in Figures 2a and 2c, respectively. (a) Fluorescein angiography. (b) En face imaging of pvOCT processed over the scanned volume. (c) Pseudocolor-coded depth representation of image (b). (d) 3D depth color-coded image of the volumetric data is rotated approximately 45° clockwise and 30° down from the en face plane of the image (c). The total acquisition time of a volume is 3.5 seconds. Scale bar, 250 μm. Colors in depth: superficial layer, red; intermediate layer, green; deep layer, blue.](image-url)
image for each imaging modality (measurement with FA, left two columns; measurement with pvOCT, right two columns) is shown in Figure 6, two vasculature images of both FA and pvOCT were used to measure an average area of FAZ for each subject. Note that the adjusted size of all images in Figures 5 and 6 is $1 \times 1 \text{mm}^2$ for better comparison among subjects. In addition, pvOCT images of Figures 6c and 6k include black stripes generated by subjects’ microsaccades during imaging. A different direction of the black lines in the two pvOCT images is caused by altered orientation (90°) of the B-scan acquisition.

Comparing Figures 6i with 6k, different fixation or pupil positions during FA imaging and pvOCT change the shape and the size of FAZ.

Table 1 summarizes FAZ measurements and clinical information pertinent to their interpretation. The data of NS1 and DP1 are from Figures 3 and 4, respectively. Mean FAZ areas of NS1 are 0.156 mm$^2$ and 0.144 mm$^2$ for FA and pvOCT, respectively. Based on the data from two healthy volunteers (NS1, NS2), below the dotted line in Figure 7a, the capillary-
free area is smaller than 0.22 mm², which corresponds to the equivalent area of a 0.53-mm diameter ring. Figure 7a indicates that eight patients with proliferative diabetic retinopathy (PDR) and nonproliferative diabetic retinopathy (NPDR), above the dotted line in Figure 7a, have an enlarged FAZ area compared with healthy controls. A comparison of measured FAZ areas from FA and pvOCT demonstrates a high correlation ($r = 0.91$ with zero intercept). Note that the retinopathy stage of patients’ eyes was categorized according to the International Clinical Diabetic Retinopathy Disease Severity Scale.\textsuperscript{28} In Figure 7b, the Bland-Altman plot\textsuperscript{29} gauges the degree of agreement between FA and pvOCT measurements, showing an average ($x$-axis) and a difference ($y$-axis) of the FAZ areas. The analysis shows that the difference between these two measurements falls within the 95% limits ($\pm 2$ SD of the difference).

**DISCUSSION**

We present a noninvasive technique for measuring the FAZ and for enabling the visualization of 3D retinal capillary networks. Fast acquisition speed allows one volumetric data set to be acquired within 5 seconds, which minimizes motion artifacts from both healthy volunteers and patients. Sampling densities of approximately 4 $\mu$m are sufficient to resolve microcirculation in the human retinal capillaries with a diameter as small as 10 $\mu$m. The pvOCT demonstrates capillary perfusion maps in the parfoveal region analogous to the images from fundus FA.

The advantages of the FAZ measurement using pvOCT are that it is a noninvasive procedure with fast image acquisition and processing and that it yields 3D morphologic information. As illustrated by Figure 7, there is excellent agreement between measurements of the fundus FA and pvOCT modalities. Using the pvOCT method, the acquisition time of one volumetric scan takes 3.5 seconds and all postprocessing time (without software speed optimization) for the vasculature visualization, and the FAZ measurement takes less than 10 minutes. For clinical purposes, it would be possible to obtain the FAZ area within 1 or 2 minutes after image acquisition, when using an automated and optimized postprocessing program within the C++ environment. This includes mapping of vasculature depth or 3D volume rendering of the foveal vasculature. Additionally, a clear benefit of this technique is that the neurovascular relationship can be finely analyzed because of the pixel-to-pixel correspondence between the pvOCT vascular morphology and the OCT retinal neural tissue structure, without the need to realign images acquired with different imaging instruments or at different times.

The main drawback of the current pvOCT system is that OCT (also pvOCT) image quality is reduced by intraocular scattering and absorption. This is also the main limitation of FAZ measurements with other optical imaging modalities including FA,\textsuperscript{30} AO-SLO,\textsuperscript{10} and dual-conjugate adaptive optics.\textsuperscript{11} For instance, cataract or nuclear sclerosis higher than the level 1 scale (scales from 1–4 simplified from the LOCS II grading scheme\textsuperscript{11}) can severely degrade OCT image quality because of diminishing light transparency, especially using an 800-nm light source. Yet images acquired from patients with an implanted intraocular lens are sufficient for FAZ evaluation. In addition, the acquisition speed of current pvOCT is two to four times faster than the current clinical OCT systems, which results in decreasing the OCT sensitivity by approximately 3 to 6 dB. This drop in sensitivity cannot be compensated by an increase of the imaging beam power at the pupil because of limitation of the maximum permissible exposure.\textsuperscript{19} One potential solution for enhancing OCT sensitivity with cataract patients is use of a longer wavelength (e.g., 1060 nm) light source.\textsuperscript{32}

The lateral resolution of our Fd-OCT system is estimated at approximately 15 $\mu$m, depending on the subject’s ocular aberrations. Note that the lateral resolution of the optical imaging system is defined as a minimum separation between two objects, which can be resolved. Because of a small imaging beam diameter at the pupil in our system, this limits visualization of the human cone mosaic even at higher retinal eccentricities (cone spacing greater than approximately 7 $\mu$m). However, it is still possible to image micro-
capillaries (5–8 μm) in the fovea with our system because the signal sensitivity of pvOCT is strong enough to produce vasculature information.

The detectability of the flow in the capillaries depends on the time separation (5.5 ms in this study) between acquired B-scans, which determines the minimum flow that may be visualized. Using the demonstrated time separation values, visualization has been successfully achieved for the capillary microstructure, but there is a risk of missing visualization of even slower flows, resulting in an overestimation of the capillary dropout in cases with altered flow. Although increasing the time separation would enhance the visibility of slower flows, it also increases the noise caused by eye motion. Because of the pulsatile flow of the blood within the capillaries, improved visualization of capillaries is expected with repeated volumetric pvOCT scans (at least twice in this study) over the same microvascular regions.

Acquired vasculature image quality and image distortion are critical factors for comparing the FAZ area with the two image modalities. There are some discrepancies in vascular maps acquired with each imaging modality because of motion artifacts or low contrast of vascular images. For example, the average FAZ sizes differed by more than 0.1 mm² in patients DP6 and DP7 between the pvOCT and FAZ measurements in Table 1. The fundus camera captured multiple frames during the first minute after injecting the fluorescein dye, and subjects were asked to gaze at the fixation point during imaging. Additionally the contrast of the vasculature in FA varied with time because of the changing concentration of fluorescein by the dye flow or leakage. On the contrary, pvOCT acquired single volumetric data within 4 seconds. After saving the data (about 2 or 3 minutes later), the next volumetric data set was acquired. This interval between data acquisition and requirement of relatively short fixation helped reduce motion artifacts, which resulted in increased reproducibility of the measurements with pvOCT. Another possible reason for the low reproducibility of FAZ measurements for FA is low contrast of the vasculature of the two selected images, which resulted in inconsistency in outlining the FAZ boundary.

The previously published study measured axial length (AL) of the eye from all subjects to calculate the scaling factor of individual images. In this study, however, we used average AL (24.11 mm) of subject NS1 as measured (IOL Master; Carl Zeiss) and calculated a retinal scaling factor. The size of a cropped image containing FAZ (approximately 180 × 180 pixels for 1.5 × 1.5 mm²) from the original FA (1280 × 1024 pixels) was computed by the scaling factor, showing strong agreement with an image size acquired from pvOCT. Moreover, additional ocular parameters such as anterior chamber depth and corneal curvature were not considered here because compensation for these sources of variation yields only small corrections.

Consistent with previous measurements of the FAZ area using fundus FA, we observed that the size of the capillary-free area in the human central fovea was larger for the diabetic patients than for the healthy control subjects. Particularly, our results show good agreement with the FAZ areas using FA images (healthy subject, 0.152 mm²; DR patients, larger than 0.300 mm²) measured by Comrath et al. However, the mean FAZ diameter varies across persons and levels of retinopathy. Using quantitative measurements with FA, Bresnick et al. described abnormal enlargement of the FAZ for diabetic patients caused by occlusion of foveal capillaries, resulting in increasing foveal nonperfusion areas. Thus, the noninvasive pvOCT method is conducive to clinical application, where the FAZ is measured periodically in diabetic patients to identify the progression of retinopathy. Our study does not measure the capillary density in the parafoveal region, but by comparing Figures 3 and 4 one can speculate that there are increased capillary closures and acellular areas for patients with diabetic retinopathy.

In the present study, we introduce a noninvasive measurement of the foveal avascular area using pvOCT. This method provides precise measurement of the FAZ area from one single volumetric scan and with short acquisition time. Further improvement in minimizing the shadow artifact should provide comprehensive 3D information of the morphologic characteristics of the retinal vasculature and the vascular connectivity. This may produce 3D tools instead of 2D FA imaging to classify microaneurysms and loss of perfusion in a clinical setting.

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**FIGURE 7.** FAZ areas of two healthy subjects (red circles) and eight DR patients (blue squares) using FA and pvOCT. (a) Each subject’s FAZ area obtained by pvOCT is plotted against the FA measure. Points denote average FAZ areas measured from each imaging modality, and error bars denote actual measured values where some error bars lie inside the points. The linear regression equation (green line) was \( y = 0.9004x \) with zero intercept \((r = 0.91; P < 0.0005)\). (b) Bland-Altman plot for assessing agreement between FA and pvOCT measures of the FAZ. Dashed lines: mean ± 2 SD of the difference.
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